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# Revisiting Rainbow: Promoting more insightful and inclusive deep reinforcement learning research

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1 Since the introduction of DQN [Mnih et al., 2015] reinforcement learning has witnessed a dramatic  
2 increase in research papers [Henderson et al., 2018]. New methods are typically evaluated on a set  
3 of environments that have now become standard, such as the Atari 2600 games made available in  
4 the Arcade Learning Environment (ALE) [Bellemare et al., 2012]. While these benchmarks have  
5 helped to evaluate new methods in a standardized manner, they have also implicitly established a  
6 minimum amount of computing power in order to be recognized as valid scientific contributions.  
7 Although classic reinforcement learning tasks such as CartPole, Acrobot, and grid worlds have not  
8 gone away, they are now used mostly for evaluating theoretical contributions; indeed, it is quite  
9 difficult to have a paper proposing a new reinforcement learning method accepted at one of the  
10 major machine learning conferences unless it includes experiments with one of the benchmarks just  
11 mentioned. Furthermore, at a time when efforts such as Black in AI and LatinX in AI are helping  
12 bring people from underrepresented (and typically underprivileged) segments of society into the  
13 research community, these newcomers are faced with enormous computational hurdles to overcome  
14 if they wish to be an integral part of said community.

15 It thus behooves the reinforcement learning research community to incorporate a certain degree  
16 of flexibility and creativity when proposing and evaluating new research. This paper is partly a  
17 position paper, partly an empirical evaluation. We argue for a need to change the status-quo in  
18 evaluating and proposing new research to avoid exacerbating the barriers to entry for newcomers from  
19 underprivileged communities. We complement this argument by revisiting the Rainbow algorithm  
20 [Hessel et al., 2018] on a set of small- and medium-sized tasks. This allows us to conduct a  
21 “counterfactual” analysis, and investigate whether there is scientific value in exploring empirical  
22 research in reinforcement learning when restricting oneself to small- to mid-scale environments.

## 23 Revisiting Rainbow

24 We follow a similar process as Hessel et al. [2018] in evaluating the various algorithmic variants  
25 mentioned above: we investigate the effect of adding each on top of the original DQN agent as well  
26 as the effect of dropping each from the final Rainbow agent, sweeping over learning rates for each.  
27 Our implementation is based on the Dopamine framework [Castro et al., 2018]. We perform our  
28 empirical evaluation on small-scale environments which are all available as part of the OpenAI Gym  
29 library [Brockman et al., 2016]. In order to strengthen the Rainbow Connection, we also ran a set of  
30 experiments on the MinAtar environment [Young and Tian, 2019].

31 In Figure 1 we evaluate both the *addition* of each algorithmic component to DQN, as well as their  
32 *removal* from the full Rainbow agent. What we find is that, in aggregate, the addition of each of these  
33 algorithms does improve learning over the base DQN. Nevertheless, for most algorithms the gains are  
34 not consistent throughout all environments; a finding which is consistent with the results observed by  
35 Hessel et al. [2018] in Figure 4. However, while Hessel et al. [2018] found prioritized replay and  
36 multi-step to be the most impactful additions, in these environments the gains from these additions  
37 are more tempered.

38 If we focus on the effect of removing components from the full Rainbow agent, what seems to hurt  
39 performance the most is the removal of distributional RL. However, it is rather interesting to see

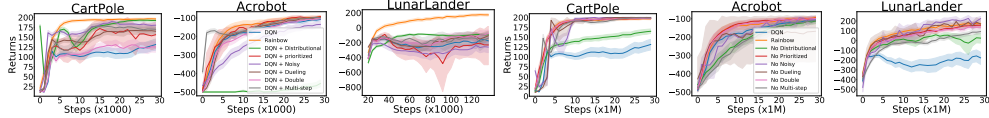


Figure 1: Comparison of the different algorithmic components on the small environments using the optimal hyper-parameters, averaged over 100 independent runs (shaded areas show 95% confidence intervals). Top row explores adding on top of DQN, bottom row explores removing from Rainbow.

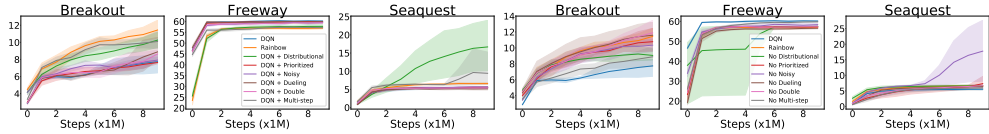


Figure 2: Comparison of the different algorithmic components on the MinAtar games, averaged over 5 independent runs (shaded areas show 95% confidence intervals). Top row explores adding on top of DQN, bottom row explores removing from Rainbow.

40 that when distributional RL is added to DQN *without any of the other components*, only adding  
 41 distributional RL to DQN the gains can sometimes be minimal (see LunarLander), and can sometimes  
 42 have a large negative effect on learning (Acrobot).

43 In MinAtar games, Figure 2, we still find distributional RL to be the most significant of the additions,  
 44 but it does not seem to require being coupled with another algorithm to produce improvements. This  
 45 begs the question as to whether the use of distributional RL with convolutional layers avoids the  
 46 pitfalls sometimes observed in the classic control experiments. However, the results from Seaquest  
 47 suggest that the combination with one of the algorithms is hurting performance; based on the ablation  
 48 results from Rainbow, it appears that noisy networks have a detrimental effect on performance.

49 **Beyond the Rainbow**

50 Running on small-scale experiments enables us to run more expansive research. As an example,  
 51 we question the choice of the Huber loss, which is what is usually used to train DQN agents as  
 52 it is meant to be less sensitive to outliers. We trained our agents using the MSE loss and found  
 53 the surprising result that on all environments considered using the MSE instead of the Huber loss  
 54 yielded improvements, and in all but one environment (Freeway) the improvements were significant,  
 55 sometimes even surpassing the performance of the full Rainbow agent (Figure 3)..

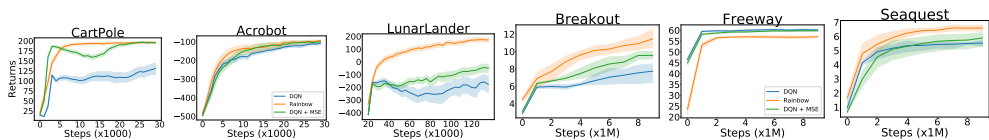


Figure 3: Evaluation of the use of the mean-squared error loss, instead of the Huber loss, in DQN.

56 **Conclusion**

57 On a limited computational budget we were able to reproduce, at a high-level, the findings of Hessel  
 58 et al. [2018] and uncover new and interesting phenomena. Evidently it is much easier to revisit  
 59 something than to discover it in the first place. However, our intent with this work was to argue for  
 60 the relevance and significance of empirical research on small- and medium-scale environments. We  
 61 believe that these less computationally intensive environments lend themselves well to a more critical  
 62 and thorough analysis of the performance, behaviours, and intricacies of new algorithms.

63 We are by no means calling for less emphasis to be placed on large-scale benchmarks. We are simply  
 64 urging researchers to consider smaller-scale environments as a valuable tool in their investigations,  
 65 and reviewers to avoid dismissing empirical work that focuses on these smaller problems. By doing  
 66 so, we believe, we will get both a clearer picture of the research landscape and will reduce the barriers  
 67 for newcomers from diverse, and often underprivileged, communities. These two points can only  
 68 help make our community and our scientific advances stronger.

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