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# Backpropagating the Unsupervised Error of Self-Organizing Maps to Deep Neural Networks

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## 1 Introduction

2 Previous research has shown the potential that Deep Neural Networks have in building representations  
3 that are useful not only for the task that the network was trained for but also for correlated tasks that  
4 take data from similar input distributions. For instance, Nanni et al. [9] showed that representations  
5 built by a Convolutional Neural Network (CNN) are better than the state-of-art handcrafted features  
6 used for object classification and Medeiros et al. [8] demonstrated that the representations learned  
7 by GoogleLeNet can be used for the task of Unsupervised Visual Object Recognition (UVOC),  
8 achieving about 75-90% of agreement with labels assigned by humans in an unseen dataset, when fed  
9 as input to a Self-Organizing Map (SOM)-based clustering method [2].

10 In this work, we propose an approach that combines SOM with Deep Learning in a synergic way  
11 to allow dealing with complex data structures, such as images and sound, by backpropagating the  
12 unsupervised error through layers of neurons.

## 13 2 Research Problem

14 A key to the success of supervised learning, especially, deep supervised learning, is the availability of  
15 sufficiently large labeled training data. Unfortunately, creating a sufficiently large amount of properly  
16 labeled data with enough examples for each class is not easy. On the other hand, unlabeled data  
17 usually can be easily obtained due to the advances in technology that have produced datasets of  
18 increasing size, not only in terms of the number of samples but also in the number of features.

19 SOM [6] is a biologically inspired unsupervised learning method that maps data from a higher-  
20 dimensional input space to a lower-dimensional output space, while preserving the similarities and  
21 the topological relations found between points in the input space. SOM can create abstractions and  
22 provide a simplified way of exhibiting information, being widely used in robotics, computer vision,  
23 data mining, and natural language processing.

24 State-of-the-art SOM-based models are suitable for clustering high-level features. In [1], a fixed  
25 topology SOM was proposed for subspace clustering by learning the relevance of each input dimension  
26 for each cluster. In [2], a time-varying structure version was proposed, in which the map only grows  
27 when new nodes are required, called LARFDSSOM. In [3, 4], this model was extended to take  
28 advantage of labeled data when it is available, thus enabling Semi-Supervised Learning.

29 However, despite these models are suitable for high-level features, they cannot deal with more  
30 complex data structures, such as images and sound. Therefore, combining SOM with Deep Learning,  
31 appears to be a good path to follow, and is the research problem considered in this work.

### 32 3 Motivation

33 DeepCluster, proposed by Caron et al. [5], provides a framework to train Deep Learning Models  
 34 using K-means cluster to create pseudo-labels and adjust the weights. The work shows that good  
 35 representations of visual features can be learned. Van den Oord et al. [11] introduce the VQ-VAE, a  
 36 family of models that combine VAEs with vector quantization to build a discrete latent representation.  
 37 Their experiments demonstrated that the discrete latent space generated by the model captures  
 38 important features of the data in a completely unsupervised manner preserving the neighborhood.  
 39 However, current SOM-based methods, such as LARFDSSOM [2], display far superior results in  
 40 clustering than K-Means, being able to create a map that preserves the neighborhood and to learn  
 41 the relevance of each input dimension for each cluster. This leads us to believe that the error signal  
 42 provided by LARFDSSOM could be used to guide a Deep Neural Network to learn a smooth and  
 43 disentangled representation in its latent space.

### 44 4 Technical Contribution

45 The main contribution of this work is the proposition of a new loss function based on the clustering  
 46 error of SOM in a way that can be used to backpropagate errors to previous layers, counteracting the  
 47 tendencies of the model to collapse to trivial solutions. This loss function allows learning mappings  
 48 from a complex to a simple disentangled representation space that SOM can handle with smooth  
 49 transitions between cluster points.

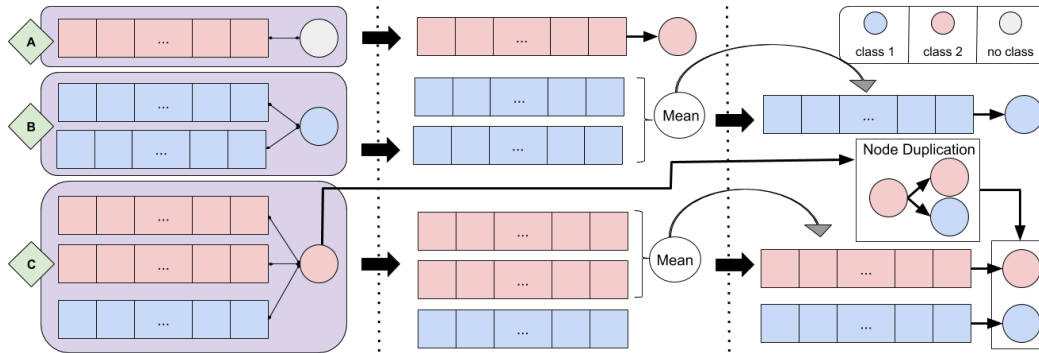


Figure 1: Each distinct situation that must be handled when a batch is given.

50 In order to achieve this, a PyTorch implementation of a SOM-based trainable layer was developed  
 51 to take advantage of the high levels of parallelism of GPUs. This approach can be viewed as an  
 52 extension of traditional shallow SOM models. It also supports semi-supervised learning by splitting  
 53 the samples into two different batches. This process is illustrated by Fig. 1.

### 54 4.1 Experimental Results

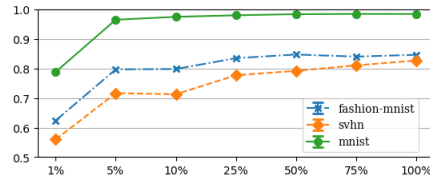


Figure 2: Results obtained varying the amount of labeled data from 1% to 100% on MNIST, Fashion-MNIST and SVHN datasets.

55 Preliminary results show that the proposed approach performs well in the image classification  
 56 benchmark datasets, SVHN [10], MNIST [7], and Fashion-MNIST [12], even with very low amounts  
 57 of labeled data (Fig. 2). However, it is necessary to carry out more detailed experiments to verify the  
 58 properties of the representations learned.

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