# **Compressive Single-Photon 3D Cameras**

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#### Abstract

Single-photon avalanche diodes (SPADs) are an emerging pixel technology for time-of-flight (ToF) 3D cameras that can capture the time-of-arrival of individual photons at picosecond resolution. To estimate depths, current SPADbased 3D cameras measure the round-trip time of a laser pulse by building a per-pixel histogram of photon timestamps. As the spatial and timestamp resolution of SPADbased cameras increase, their output data rates far exceed the capacity of existing data transfer technologies. One major reason for SPAD's bandwidth-intensive operation is the tight coupling that exists between depth resolution and histogram resolution. To weaken this coupling, we propose compressive single-photon histograms (CSPH). CSPHs are a per-pixel compressive representation of the high-resolution histogram, that is built on-the-fly, as each photon is detected. They are based on a family of linear coding schemes that can be expressed as a simple matrix operation. Our results show that a well-designed CSPH can consistently reduce data rates by 1-2 orders of magnitude without compromising 3D imaging performance.

## 1. Introduction

Single-photon cameras (SPC) are an emerging sensor technology with ultra-high sensitivity down to individual photons [3, 4]. In addition to their extreme sensitivity, SPCs based on single-photon avalanche diodes (SPADs) can also record photon-arrival timestamps with extremely high (sub-nanosecond) time resolution [19]. Moreover, SPAD-based SPCs are compatible with the complementary metal-oxide semiconductor (CMOS) photolithography process which can enable fabrication of kilo-to-mega-pixel resolution SPAD arrays [5, 18] at low costs. Due to these capabilities, SPAD-based SPCs are gaining popularity in various imaging applications including 3D imaging [20, 22], lowlight and HDR imaging [1, 13, 16, 21], and more [15, 24, 25].

Unlike a conventional camera pixel that outputs a single intensity value integrated over micro-to-millisecond timescales, a SPAD pixel generates an electrical pulse for each photon detection event. A time-to-digital conversion circuit converts each pulse into a timestamp recording the time-ofarrival of each photon. Under normal illumination conditions, a SPAD pixel can generate millions of photon timestamps per second. The photon timestamps are often cap-



Figure 1. **Compressive Single-Photon 3D Imaging.** (a) Example depth maps with conventional (full histogram) capture, coarse resolution capture and our method with compressive capture. In this simulation, our method generates  $100 \times$  lower data, yet generates depth maps that are visually indistinguishable from the conventional method. (b) With conventional acquisition schemes, data bandwidth requirements scale linearly with the desired depth resolution. Our proposed compressive acquisition does not scale as strongly with depth resolution, keeping the output data rates manageable with existing data transfer standards like USB and PCIe.

tured with respect to a periodic synchronization signal generated by a pulsed laser source. To make this large volume of timestamp data more manageable, SPAD-based SPCs build a *timing histogram* in-sensor instead of transferring the raw photon timestamps to the processing chip.

Consider a megapixel SPAD-based 3D camera. For short range indoor applications, a millimeter depth resolution would be desirable. For longer range outdoor applications, centimeter level depth resolution would be desirable. Assuming state-of-the-art sub-bin processing [12], this corresponds to histograms with thousands of bins. Moreover, the rate at which these histograms are acquired can vary from tens of frames per second (fps) to hundreds of fps for, say, an automotive application with high-speed object motion. Even a conservative estimate of a 30 fps megapixel camera leads to a large data-rate of  $10^6$  pixels/frame × 1000 bins/pixel × 2 bytes/bin × 30 fps = 60 GB/sec. As shown in Fig. 1(b), the amount of data generated by this conventional full histogram capture method varies linearly with the desired depth resolution and exceeds the bandwidth of current data-transfer busses by orders of magnitude.

Here we propose a bandwidth-efficient acquisition strategy called *compressive single-photon histograms* (CSPH). Instead of capturing the full timing histogram in each pixel, a CSPH is constructed by mapping the time bins of the full histogram onto multiple "compressive bins" through an encoding step. We consider a family of compressive encoders that are linear, which means they can be represented as a simple matrix operation. Therefore, they can be implemented efficiently using vector addition operations that can be computed on-the-fly, as each photon arrives, without the need to store large arrays of photon timestamps in-sensor. CSPHs decouple the dependence of output data rate on the desired depth resolution. While a full histogram would require more time bins to achieve higher depth resolution, a CSPH can represent them using (almost) the same number of compressive bins. As illustrated in Fig. 1(a), CSPHs can reduce the required data rate by 1-2 orders of magnitude compared to the full histogram case.

We design and evaluate various CSPH coding schemes for SPAD-based 3D cameras. We also evaluate 3D reconstruction accuracy of our compressive acquisition method with real-world data from a hardware prototype. Please refer to the full paper for an extensive evaluation that covers various illumination conditions, scene complexity, noise, and laser pulse waveforms [10].

#### 2. Single-Photon 3D Image Formation

Single-photon 3D cameras consist of a SPAD sensor and a periodic pulsed laser that illuminates the scene. Assuming direct-only reflections, the returning photon flux signal that will be captured by a SPAD pixel can be written as:

$$\Phi(t) = ah(t - t_z) + \Phi^{\text{bkg}} = \Phi^{\text{sig}}(t) + \Phi^{\text{bkg}}$$
(1)

where h(t) is the system's IRF which accounts for the pulse waveform and sensor IRF, *a* represents the returning signal photon flux,  $t_z$  is a time shift proportional to distance, and  $\Phi^{\text{bkg}}$  corresponds to the background photon flux.

SPAD-based 3D cameras sample  $\Phi(t)$  using timecorrelated single-photon counting [23]. The SPAD pixel, once triggered, starts acquiring photons. After detecting one photon, its timestamp is recorded, and the SPAD is inactive for a time period called the dead time. As shown in Fig. 2, this process is repeated for M cycles, and a histogram of the timestamps is constructed which approximates  $\Phi(t)$ . If the photons are time-tagged with a resolution,  $\Delta$ , the mean photon flux at histogram bin *i* is:

$$\Phi_i = \Phi_i^{\rm sig} + \Delta \Phi^{\rm bkg} \tag{2}$$

The vector,  $\Phi = (\Phi_i)_{i=0}^{N-1}$ , is the photon flux histogram, where  $N = \tau/\Delta$ , and  $\tau$  is the timestamp range which



Figure 2. **Single-Photon Histogram Formation.** SPAD-based 3D cameras estimate distances by building a per-pixel histogram of the detected photon's time-of-arrival.

equals the laser pulse repetition period. Advances in SPAD operation modes [6, 12] minimize signal distortions and allow us to assume that  $\Phi_i$  appropriately approximates  $\Phi(t)$ .

The histogram formation process generates a 3D histogram image, one histogram per pixel. In emerging megapixel SPAD arrays with picosecond time resolutions, building in-pixel histograms, would require transferring the 3D data volume off-sensor for processing leading to impractical data rates of tens of GB/s. Overall, data bandwidth is an important challenge for single-photon 3D cameras.

#### 3. Compressive Single-Photon 3D Imaging

In general, we *could* compress the 3D histogram image effectively *if* we had the entire histogram image. However, building and transferring the histogram image off the sensor is expensive. Moreover, these histograms are created one photon at a time, raising the question: Can we compress the histogram in an online fashion where we see a photon (and its timing information) only once? This is challenging because compression schemes often require having access to the entire data before performing compression.

To answer this question, we make two observations. First, there is a class of linear compression techniques which can be expressed as a matrix-vector multiplication. Specifically, the compressed representation is the product of a  $K \times N$  coding matrix, C, and the  $N \times 1$  histogram  $\Phi$ . An effective C will have a high compression ratio (N/K), while preserving 3D imaging performance.

Second, we observe that the entire histogram can be written as the sum of one-hot encoding vectors, each vector representing one timestamp. Formally, let  $t_j = (t_{j,i})_{i=0}^{N-1}$  be the one-hot encoding vector of the *j*th timestamp  $(T_j)$  detected, where all elements are 0 except for  $t_{j,l} = 1$ , where  $l = \lfloor \frac{T_j \mod \tau}{\Delta} \rfloor$ . As shown in Fig. 3,  $\widehat{\Phi}$  can be written as:

$$\widehat{\Phi}_i = \sum_{j=0}^{M-1} t_{j,i} \tag{3}$$



Figure 3. On-the-fly Histogram Formation. Timestamp histograms are often built on-the-fly, as each photon is detected. The left column shows how a histogram, whose bin width matches the timestamp resolution ( $\Delta$ ), is formed as the sum of timestamps represented as one-hot encoded vectors. Transferring such a large histogram for every pixel can be impractical. By multiplying each timestamp with a down-sampling matrix to group timestamps into coarser bins, the size of the histogram can be reduced at the cost of resolution (middle column). Alternatively, a compressive histogram can be created by multiplying each timestamp with a coding matrix and adding them up as each timestamp comes in (right column). A well-designed *C* can efficiently encode the peak location from which distance can be computed.

where M is the total number of detected photons.

Given these observations, we can design an online histogram compression algorithm by simply multiplying the coding matrix with the one-hot encoding timestamp vector:

$$\widehat{B}_{k} = \sum_{i=0}^{N-1} C_{k,i} \widehat{\Phi}_{i} = \sum_{i=0}^{N-1} \sum_{j=0}^{M-1} C_{k,i} t_{j,i}$$
(4)

 $\hat{B}$  is the compressive single-photon histogram (CSPH), whose elements are coded projections of  $\hat{\Phi}$ . In practice, Eq. 4 need not be implemented as a matrix-vector multiplication. One possible implementation is to store *C* as a *lookup table* shared across pixels. For each new  $t_j$  with  $t_{j,l} = 1$ , the *l*<sup>th</sup> column of *C* is added to the per-pixel CSPH  $(\hat{B} = \hat{B} + C_{:,l})$ . Given this on-the-fly compression method, a natural question is, what are good coding matrices for compressive single-photon 3D cameras?

Coding matrix design for 3D imaging has been studied in the context of correlation-based ToF [8,9,11,14] and structured light [2,7,17]. Based on these works, we defined properties that C should have to achieve high compression while preserving 3D imaging performance (see [10]). Given these properties, we designed C using Gray [9] and Fourier [8] codes.

**Results:** To evaluate the effectiveness of CSPHs on real SPAD data we downloaded and pre-processed the data acquired with a scanning-based system [6]. The pre-processed raw histograms have  $\Delta = 8$ ps and N = 832 (e.g., histograms in Fig. 4).

Fig. 4 shows the recovered 3D reconstructions using different CSPH at 104x compression (K = 8). We find that Gray coding can essentially achieve 0 errors for pixels with sufficient signal, while sometimes making large errors (outliers). In contrast, truncated Fourier and Gray-based Fourier are robust to outliers, but make many small and medium sized errors leading to lower quality 3D reconstructions in this example. Moreover, we found that the background wall



Figure 4. **Real-world Scan-based Single-photon 3D Imaging.** The depth and depth error images for different CSPH with K = 8 codes. The mean and median absolute errors (in mm) achieved by each method from left to right are: [7, 1], [6, 4], [9, 6], [23, 13].

histograms exhibited a longer tail than the foreground face histograms due to indirect reflections. Indirect reflections cause systematic errors in truncated Fourier, while Graybased Fourier and Gray coding are more robust to these errors since their C have higher frequency codes, as predicted by [8]. Finally, using a conventional coarse histogram with sub-bin depth estimation leads to the worst performance.

**Conclusion:** High resolution SPAD-based 3D cameras can produce unmanageable data rates. To reduce their data bandwidth, we proposed to capture a compressive representation (CSPH) of the high-resolution timing histogram. An appropriately designed CSPH can preserve depth precision while outputting significantly less data.

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